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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.	8410M
First Inventor	John Keeney Howie, et al.
Assignee	The Procter & Gamble Company
Title	SYNTHESIS OF POLYOL MEDIUM FACTY ACID POLYESTERS
Express Mail Label No.	ET062441229US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

Box Patent Application  
ADDRESS TO: Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [23]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 USC §113) Total Sheets ☐
- Oath or Declaration Total pages [2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 complete)
    - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.63(d)(2) and 1.33(b).
5. ☒ Application Data Sheet. See 37 CFR §1.76
6. ☐ CD-ROM or CD-R in duplicate, large table for  
Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
16. ☐ Other: .....

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. 1

Prior application information: Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number

(Insert Customer No. here)

27752

Name (Print/Type)	Carl J. Roof	Registration No. (Attorney/Agent)	37,708
Signature	Carl J. Roof	Date	January 28, 2002

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(Revised for P&amp;G use 12/7/01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Unknown
Confirmation Number	Unknown
Filing Date	January 28, 2002
First Named Inventor	John Keeney Howie, et al.
Examiner Name	Unknown
Group/Art Unit	Unknown
Attorney Docket No.	8410M

TOTAL AMOUNT OF PAYMENT (\$884.00)

## METHOD OF PAYMENT (check one)

☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

☒ Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17

## FEE CALCULATION

### 1. BASIC FILING FEE - Large Entity

Code	(\$)	Fee Description	Fee Paid
101	740	Utility filing fee	[740]
106	330	Design filing fee	[]
108	740	Reissue filing fee	[]
114	160	Provisional filing fee	[]

SUBTOTAL (1) (\$)[740]

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE - Large Entity

	Extra	Fee from	Fee
Total Claims	Claims	Below	Paid
[28] - 20** =	[8] x	[18]	= [144]

Independent Claims [3] - 3\*\* = [0] x [0] = [0]

Multiple Dependent [0] = [0]

\*\* or number previously paid, if greater; For Reissues, see below

Code	(\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)[144]

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Code	(\$)	Fee Description	Fee Paid
105	130	Surcharge-late filing fee or oath	[]
127	50	Surcharge-late provisional filing fee or cover sheet	[]
139	130	Non-English specification	[]
147	2,520	For filing a request for <i>ex parte</i> reexamination	[]
112	920*	Requesting publication of SIR prior to Examiner's action	[]
113	1,840*	Requesting publication of SIR after Examiner's action	[]
115	110	Extension for reply within 1 <sup>st</sup> month	[]
116	400	Extension for reply within 2 <sup>nd</sup> month	[]
117	920	Extension for reply within 3 <sup>rd</sup> month	[]
118	1,440	Extension for reply within 4 <sup>th</sup> month	[]
128	1,960	Extension for reply within 5 <sup>th</sup> month	[]
119	320	Notice of Appeal	[]
120	320	Filing a brief in support of an appeal	[]
121	280	Request for oral hearing	[]
138	1,510	Petition to institute a public use proceeding	[]
140	110	Petition to revive - unavoidable	[]
141	1,280	Petition to revive - unintentional	[]
142	1,280	Utility issue fee (or reissue)	[]
143	460	Design issue fee	[]
122	130	Petitions to the Commissioner	[]
123	50	Petitions related to provisional applications (37 C.F.R. 1.17(q))	[]
126	180	Submission of Information Disclosure Statement	[]
146	740	Filing a submission after final rejection (37 CFR § 1.129(a))	[]
149	740	For each additional invention to be examined (37 CFR §1.129(b))	[]
179	740	Request for Continued Examination (RCE)	[]
169	900	Request for expedited examination of a design application	[]
091	1280	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	[]
		Other fee (specify) _____	[]
		Other fee (specify) _____	[]

\* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$)[0]

## SUBMITTED BY

Name (Print/Type)	Carl J. Roof	Registration No. (Attorney/Agent)	37,708	Telephone	(513) 634-5209
Signature	Carl J. Roof			Date	01/28/2002

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